

CV Alfred Mettler (August 2020)

Personal

Alfred Mettler, Ph.D.
Clinical Associate Professor of Finance
University of Miami
Miami Herbert Business School
Department of Finance
5250 University Drive, 514-P Jenkins Building
Coral Gables, FL 33146

Office: +1 305-284-9394 Private: almettler@gmail.com
E-Mail: amettler@bus.miami.edu
Website: www.almettler.com Twitter: @almettler

Nationality: Dual Citizen (U.S. and Switzerland)
Languages: English (full proficiency), German (mother tongue), French, Italian, Slovak

Professional Areas of Interest and Expertise:

- Global Banking and Finance, Corporate Finance
- Fintech Developments
- Risk Management of Financial Institutions, ERM
- Financial Education
- Global economic/societal/political/legal developments

Work Experience:

2017	- present	Clinical Associate Professor of Finance, University of Miami, Coral Gables (FL)
2010	- present	Adjunct Professor of Finance, Swiss Finance Institute, Zurich (Switzerland). Head of SFI's Knowledge Center (2011-2012)
2015	- 2017	H. Talmage Dobbs, Jr. Professor of Teaching Excellence, Georgia State University, Atlanta, GA
2007	- 2017	Clinical Associate Professor of Finance, Georgia State University, Atlanta, GA
1998	- 2007	Assistant Professor of Finance, Georgia State University, Atlanta, GA
1997	- 1998	Visiting Assistant Professor, American Graduate School of International Management (Thunderbird), Geneva-Campus, Archamps (France)
1996	- 1998	Assistant Professor of Finance, Swiss Banking Institute, University of Zurich, Switzerland
1993	- 1994	Visiting Scholar, Salomon Center, Stern School of Business, New York University, New York (NY)
1990	- 1995	Senior Research Assistant and Senior Lecturer, Swiss Banking Institute, University of Zurich, Switzerland
1987	- 1990	Research Assistant and Lecturer, Swiss Banking Institute, University of Zurich, Switzerland

Education:

1993/94	Visiting Scholar, Stern School of Business, New York University, New York (NY)
1990	Ph.D. in Finance (University of Zurich, Switzerland) Dissertation Title: Initial Public Offerings in Switzerland (Publication in German)
1987	Masters Degree in Business Administration (lic.oec.publ.), University of Zurich (Switzerland), Major in Finance and Banking

Main Professional Activities:

Degree Programs:

- Teaching in executive, premium, graduate and undergraduate programs (Corporate Finance, Fintech, Derivatives, Managing in the Global Economy, Multinational Corporate Finance, Banking and Finance in the Global Economy)
- Curriculum development, innovation, and integration

Executive Education Programs:

- Teaching in various EMBA, certificate and custom executive education programs in the U.S., Europe, and Asia
- Adjunct Professor of Finance, Swiss Finance Institute, SFI
- Finance Coordinator of the Executive MBA of the University of Fribourg (Switzerland), and member of the Scientific Council, International Institute of Management in Technology (iimt), University of Fribourg (Switzerland)

Managerial Activities:

- 2017-2018: Program director Professional MS Finance program, University of Miami
- 2011-2012: Co-director of the Munich Re Fellowship program, Georgia State University
- 2003-2017: Member of the MBA Steering Committee and Graduate Program Council, Georgia State University
- 2012-2017: Program director MS Finance program, Georgia State University
- 2010-2017: Director of the Financial Leadership Network and CFO Council, Georgia State University
- 2003-2008: Managing Director of CERMAS, the Center for Enterprise Risk Management and Assurance Services, Georgia State University

Selected Service Activities:

- 2017-present: Member of Graduate Education Committee GBEC, University of Miami
- 2003-2017: Member of the MBA Steering Committee, Georgia State University
- 2006-2017: Member of the Graduate Program Council (GPC), Georgia State University
- 2010-2017: Director CFO Council and Financial Leadership Network, Georgia State University
- 2012-2017: Member of the Planning Committee, Georgia State University
- 2006-2014: Member of the Study Abroad Task Force, Georgia State University

Consulting Activities:

- Consulted with various organizations in the U.S., Europe, and Asia, among others the Swiss Government, UBS, Canton of Zurich, the Vietnamese Bankers Association, etc.

Media Activities:

- Numerous contributions / interviews in Print Media, Radio, and TV, in Switzerland and the U.S.

Awards, Scholarships, Fellowships:

- | | |
|------|---|
| 2015 | Appointment: H. Talmage Dobbs, Jr. Chair of Teaching Excellence, Georgia State University |
| 2015 | Voted most outstanding Professor, PMBA Alpharetta 2015 cohort and MS Finance 2015 cohort, Georgia State University |
| 2014 | Voted most outstanding Professor, PMBA Alpharetta 2014 cohort, and MS Finance 2014 cohort, Georgia State University |
| 2013 | Recipient of the J. Mack Robinson College of Business' faculty recognition award for service |
| 2013 | Voted most outstanding Professor, PMBA Alpharetta and MS Finance 2013 cohort, Georgia State University |
| 2013 | Voted most outstanding Professor, MS Finance 2013 cohort |
| 2011 | Recipient of the J. Mack Robinson College of Business' faculty recognition award for teaching |

-
- 2011 Voted most outstanding Professor, FT MS Finance 2011 cohort
 - 2009 Recipient of the Crystal Apple MBA Teaching Award, April 2009
 - 2008 Recipient of the Board of Advisors Faculty Excellence in Teaching Award for 2008
 - 2007 Recipient of the J. Mack Robinson College of Business' faculty recognition award for service
 - 2006 First recipient of the J. Mack Robinson College of Business' Crystal Apple Student Teaching Award
 - 2004 Recipient of the J. Mack Robinson College of Business' faculty recognition award for teaching

Publications and Writings

Refereed Scholarly

- The Impact of the Sarbanes-Oxley Act on the Cost of Going Public (together with Christoph Kaserer, Stefan Obernberger), in: Business Research, Vol. 4, Issue 2, Dec 2011
- The time-varying risk of listed private equity (together with Christoph Kaserer, Henry Lahr, Valentin Liebhart), in: Journal of Financial Transformation, #28, 03/2010, p. 87-94
- Managing in the Global Economy: An integrative concept for a cross-functional MBA cornerstone course, The College Teaching Methods & Styles Journal, Vol. 2, No. 3, third quarter 2006, p. 17-27.
- Assessing Learning Levels among Students in the Finance Core (together with P. Eisemann and M. Shrikhande), Journal of Financial Education, Vol. 32, Spring 2006, p. 45-57.
- Neue Wege für das inländische Kreditgeschäft, in: Die Unternehmung (Nr. 2/98), p. 91-103, 1998 (together with P. Lautenschlager and R. Volkart).

Non-Refereed and Other

- Public Discussion Note „Negative Interest Rates“, Swiss Finance Institute, March 2020
- Public Discussion Note „Global Financial Regulation, Transparency and Compliance Index (GFRTCI)“, Swiss Finance Institute, February 2020
- Book Review „Growth and Value Creation in Asset Management: how to win in the 'new normal'“, in: Journal of Applied IT and Investment Management, Aug. 2010, p. 34
- Es ist Zeit, mit der masslosen Selbstkritik aufzuhören, in: Neustart – 50 Ideen für einen starken Finanzplatz Schweiz, December 2009, p. 35-39 (publication in German) [*Translated title: 50 Ideas for a Strong Swiss Financial Center*]
- Executive Education – Einige transatlantische Betrachtungen, in: Die ISB-Jahre mit Ruedi Volkart und Hans Geiger, May 2008, p. 118-127 (publication in German) [*Translated title: Executive Education – Some Transatlantic Observations*]
- Introduction to the World, in: BizEd, July/August 2006, p. 28-33 (together with Bill Bogner, Susan Houghton, Chris Lemley).

Selected recent other writings, comments, key-note presentations, media contributions 2012-2018

2017 - 2019:

- Various TV and radio interviews with Swiss Public Radio/TV about financial markets, banking issues, tax issues, economic policy, etc.
- Various newspaper articles and interviews

2016:

- Various TV and radio interviews with Swiss Public Radio/TV about financial markets, banking issues, tax issues, economic policy, U.S. elections, etc.
- Various newspaper articles and interviews
- Keynote presentation at various events, August, September, and November 2016
- Commentator on Swiss Public Radio during U.S. Election Night, Nov 8, 2016

2013 - 2015:

- Various TV and radio interviews with Swiss Public Radio/TV about financial markets, banking issues, tax issues, economic policy, etc.
- Various newspaper articles and interviews
- Keynote presentation at Enterprise Risk Management conference, University of Applied Sciences, Luzern (Switzerland), Nov 2015
- Presentation to Aquila Wealth Management: "Banking, Taxes, and Politics", Nov 2014
- Presentation to **Europa Forum**: "Viewpoints on Switzerland", Lucerne (Switzerland), Nov 2013
- Presentation to Raiffeisenbank Zurich: "Banking, Taxes, and Politics", Nov 2013

2012:

- Presentation to the President of Switzerland (Eveline Widmer-Schlumpf) and her Cabinet: "Banking, Taxes, Politics, and Society: Thoughts about the Swiss-US Relationship", August 2012
- Various TV and radio interviews with Swiss Public Radio/TV about financial markets, banking issues, tax issues, economic policy, etc.
- Various newspaper articles and interviews
- Extensive interview in various large Swiss newspapers: "100 Years of Swiss Banking"
- Presentation to Raiffeisenbank Switzerland: "Banking, Taxes, and Politics", Nov 2012
- Commentator on Swiss TV during U.S. Election Night, Nov 6/7 2012
- Presentation at World Affairs Council Breakfast Discussion, Sep 25: "The Euro and the EU Crisis"
- Presentation at UPS GSU Event, Oct 24: "The Euro and the EU Crisis: Implications for the U.S. and the World"

Paper Presentations at Professional Meetings

- 2018 - Paper presentation IAES 2018 conference: The Impact of an FX-Shock on a Small Open Economy: The Case of Switzerland
- 2017 - Paper presentation IAES 2017 conference: Efficiency of financial markets after unforeseen events: The Swiss National Bank's cap on the Franc against the Euro
- 2016 - Paper presentation IAES 2016 conference: Intended and unintended consequences: The Swiss National Bank's decision to remove the cap on the CHF against the EUR
- 2015 - Paper presentation IAES 2015 conference: Foreign Currency Mortgages: Risks, Returns, and Policies
- 2014 - Paper presentation FMA 2014 conference: Credit Spreads and Risk-Adjusted Pricing
- 2014 - Paper presentation MFS 2014 conference: Foreign Currency Mortgages
- 2009 - Paper presentation FEA 2009 meeting: Managing in the Global Economy: A Success Story of a cross-functional MBA Core Course
- Paper presentation EFA 2009 meeting: The Impact of the Sarbanes-Oxley Act on the Cost of Going Public
- The Impact of the Sarbanes-Oxley Act on the Cost of Going Public, paper presented by co-author at the European FMA 2009 meeting
- 2008 - Paper presentation FEA 2008 meeting: Foreign Currency Mortgages
- Paper presentation FEA 2008 meeting: The Subprime Crisis in Movie Sequences
- Has SOX reduced the Cost of Going Public, paper presented by co-author at European FMA 2008 meeting
- 2007 - Session chair and paper discussant at the FMA annual meeting in Orlando, Oct 2007
- 2006 - Presentation at the Commercial Bankers Forum, Zurich (organized by Swiss Banking Institute, University of Zurich, Switzerland): "Mortgage Banking in the USA", June 22, 2006
- 2005 - Paper presentation at the CTMS conference in Reno, September 2005: Managing in the Global Economy: An integrative concept for a cross-functional MBA cornerstone course

- Paper presentation at the Financial Education Association conference in Orlando, April 2005: Basel II Visualized: PD, EAD, LGD, and Corresponding Credit Spreads, together with R. Volkart
- Paper presentation at the Financial Education Association conference in Orlando, April 2005: Chickens and Eggs: A Visualization and some Interpretations of the Spot-Futures Relationship
- 2004 - Paper presentation at the at the European FMA conference in Zurich, June 2004: Option Pricing Theory, Credit Spreads, and Risk-Adjusted Pricing: An Integrative Approach, together with R. Volkart
- Paper presentation at the at the Financial Education Association conference in Mystic, April 2004: Assessing Knowledge Levels among Students in the Finance Core, together with P. Eisemann, M. Shrikhande

Working Papers and Research in Progress

- Credit Spreads and Risk-Adjusted Pricing
- Intended and unintended consequences: The Swiss National Bank's decision to remove the cap on the CHF against the EUR
- Triangular Arbitrage in Turbulent Times
- Foreign Currency Mortgages: Risks, Returns, and Policies
- Mountain Climbing and Risk Management: Movie Scenes for the Finance Curriculum

Selection of International Activities

Regular teaching and program responsibilities in various executive education programs:

- Swiss Finance Institute, Executive Program/DAS in Banking:
3-day module: "Commercial Banking", annually in October
2-day module: "Risk Management", annually in October
1-week module: "Fundamentals of Finance", annually in May
- Swiss Finance Institute, International Bank Management Program:
5-day module: "Commercial Banking", "Risk Management", annually in September (until 2017)
- Swiss Finance Institute, Advanced Executive Program:
3-day module: Developments in Commercial Banking, annually in June (until 2018)
- International Institute of Management in Technology (University of Fribourg, Switzerland), Executive MBA Program in ICT:
3-day module: Financial Management (2), annually in June
3-day module: Financial Management (3), annually in June
- Swiss Management Program in Bank Directors' Training for Vietnamese Bankers:
3-day module: Credit Risk Management, May 2016, Ho-Chi-Minh-City (Vietnam)
3-day module: Credit Risk Management, May 2016, Hanoi (Vietnam)
- Swiss Management Program in Bank Directors' Training for Vietnamese Bankers:
3-day module: Risk and Return, November 2015, Ho-Chi-Minh-City (Vietnam)
3-day module: Risk and Return, December 2015, Hanoi (Vietnam)
- China Executive Leadership Academy Pudong, and Pudong Institute of Finance, Shanghai (China): Risk Management in the Context of Modern Financial Systems, April 2012
- Swiss Management Program in Banking for Vietnamese Bankers:
3-day module: Credit Risk Management, July 2010, Hanoi (Vietnam)
3-day module: Credit Risk Management, July 2010, Ho-Chi-Minh-City (Vietnam)
- Swiss Finance Institute, FAME Program:
5-day module: Fundamentals of Investment Finance, July 2009, July 2010, Lausanne (Switzerland)

- Senior Management Program in Banking for Vietnamese Bankers:
3-day module: Risk and Return, December 2009, Hanoi (Vietnam)
3-day module: Risk and Return, December 2009, Ho-Chi-Minh-City (Vietnam)

Served as an advisor to the Swiss Government on Banking and Tax issues (member of a Task Force appointed by the President of Switzerland, Federal Councillor Hans-Rudolf Merz). March-Oct 2009.

Presentation to the President of Switzerland, Federal Councilor Eveline Widmer-Schlumpf, and her Cabinet: "Banking, Taxes, Politics, and Society: Thoughts about the Swiss-US Relationship", August 2012

Memberships in Professional Organizations:

- Active Member of Financial Management Association, FMA (Co-organizer of the FMA European Meetings in 1996 and 2004, both times in Zurich (Switzerland))
- Active Member of Financial Education Association FEA
- Active member of the Swiss American Chamber of Commerce
- Member of the Steering Committee of the Atlanta chapter of PRMIA (2010-2017)

Board Memberships:

- Aretas Capital Management (Zurich, Switzerland), Board Member
- Sizcare AG (Zurich, Switzerland), Board Member
- Cashare (Hünenberg), Member of Advisory Board
- SwissERM (Rotkreuz, Switzerland), Member of Advisory Board